

## FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS APPLICANT'S INFORMATION DISCLOSUR LIST OF PATENTS AND PUBLICATIONS FOR APR 3 0 2004

(Use several sheets if necessary)

ATTY. DOCKET NO. KLR 7146.0180

SERIAL NO. 10/722,854

APPLICANT

Hallberg, et. al.

**FILING DATE** November 25, 2003

GROUP 2621

#### REFERENCE DESIGNATION

			U.S. PA	TENT DOCUMENTS			
EXAMI NER INITIA	120	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DNW/	AA	5,510,899	04/23/96	Kim			
	AK.	5,526,131	06/11/96	Strolle, et. al.			•
	AC	5,535,208	07-1996	Kawakami, et. al.			
	AD	5,543,932	08/06/96	. Chang, et. al.			
	AE	5,563,714	10/08/96	Inoue, et. al.			
	AF	5,579,183	11/26/96	Van Gestel, et. al.			
	AG	5,587,789	12/24/96	Lee, et. al.	_		
	АН	5,589,993	12/31/96	Naimpally			
	AI	5,596,581	01/21/97	Sacijs, et. al.			
	AJ	5,623,344	04/22/97	Lanc, ct. al.			
	AK	5,648,960	07/15/97	Sakazaki, et. al.			
	AL	5,666,461	09/09/97	Igarashi, et. al.			
	АМ	5,668,810	09/16/97	Cannella, Jr.			
	AN	5,668,916	09/16/97	Fujinmami			
	AO	5,687,275	11/11/97	Lane, et. al			
	AŁ	5,717,641	02/10/98	Ando, et. al.			
	AQ	5,717,816	07/18/97	Boyce, et. al.			•
	AR	5,727,113	03/17/98	Shimoda			
	AS	5,729,648	03/17/98	Boyce, et. al.			:
	AT	5,729,649	03/17/98	Lanc, et. al.			
	AU	5,739,862	04/14/98	Cen			
	AV	5,754,651	05/19/98	Blatter, et. al.			
$\perp$	AW	5,757,421	05/26/98	Kato, et. al.			
	AX	5,768,466	06/16/98	Kawamura, et. al.			
	AY	5,771,335	06/23/98	Lee			
	AZ	5,774,441	06/30/98	Nakagawa			
	AAA	5,778,143	07/07/98	Воусе			
	ABB	5,790,177	08/04/98	Kassatly			
V	ACC	5,793,927	08/11/98	Lane	ŀ	1	

V	ASS	6,532,232	03-2003	Goodwin, III	
	ARR	6,507,673	01-2003	Wang, et. al.	
	AQQ	6,442,630	08-2002	Takayama, et. al.	
	APP	6,430,356	08-2002	Hara, et. al.	
	A00	6,333,950	12-2001	Karaaswa	
	ANN	6,253,019	06-2001	Yanagihara, et. al.	
	AMM	6,233,282	05-2001	Guerrera	
	ALL	5,987,126	11-1999	Okuyama, et. al.	
	AKK	5,970,392	10-1999	Hatae	
	AJJ	5,872,933	02/16/99	Kanai, et. al.	
	AIJ	5,867,625	02/02/99	McLaren	
	АНН	5,854,840	12/29/98	Cannella, Jr.	
	AGG	5,832,172	11/03/98	Joen	 
	AFF	5,812,734	09/22/98	Shimoda, et. al.	
	AEE	5,802,242	09/01/98	Kawamura, et. al.	
NW/	ADD	5,802,240	09/01/98	Asai	

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS		ISLATION	
			-			YES	NO	

#### OTHER ART

/DNW/	CA	Twell, Thomas, "DV Coding: How It Works with IEEE-1394," presented July 29, 1997, http://desktopvideo.miningco.com/library/weekly/aa03698.htm					
/DNW/	СВ	EI-IEC 61883-1 International Standard, Part 1, Consumer Audio/Video Equipment-Digital Interface					
/DNW/	СС	CEI-IEC 61883-2 International Standard, Part 2, Consumer Audio/Video Equipment-Digital Interface					
/DNW/	CD	IEEE Standard for a High Performance Serial Bus, The Institute of Electrical and Electronics Engineers, Inc1996 (392 pages)					
	CE						

Examiner Signature	/David Werner/	Date Considered	06/08/2007

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

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اس ا			SCLOSURE SPPLICANT	Application Number	10/722,854
TRACER	IFORMATION	N DIS	SCLOSURE	Filing Date	November 25, 2003
S			•	First Named Inventor	Hallberg, et. al.
	(Use as many sh	eels as	necessary)	Art Unit	2621
				Examiner Name	Werner, David N.
Sheet	1	of	1	Attorney Docket Number	7146.0180

		U.	S. PATENT DOC	JMENTS			····
Examiner Initials	Cite No.1	Document Number Number-Kind Code <sup>2 (V known)</sup>	Publication Date MM-DD-YYYY	Name of Pa Applicant of Cit		Pages, Columns, Lines, Wh Relevant, Passages or Rele Figures Appear	nere Ivant
/DNW/	AA	US-5,619,337	04/08/97	Naimpally			
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Pa	tentee or	Pages, Columns, Lines, Where Relevant, Passages	
Initials	No.1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>8</sup> (if known)	MM-DD-YYYY	Applicant of Cite		Where Relevant, Passages or Relevant Figures Appear	T°
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Examiner Signature		/David Werner/		<del>, , , ,</del>	Date Considered	06/08/2007	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (07-05)

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/722,854 Filing Date November 25, 2003 Hallberg et al. First Named Inventor Art Unit 2615 Examiner Name TBD

(Use as many sheets as necessary)

Sheet 7146.0180 of Attorney Docket Number

U.S. PATENT DOCUMENTS					
Examiner Cite No.	Cita	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
	No.	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
/DNW/		US- 5,684,917	11-4-1997	Yanagihara et al.	
/DNW/		US- 5,784,527	07-21-1998	Ort	
/DNW/		US- 5,832,085	11-03-1998	Inoue et al.	
DNW/		US- 5,909,257	06-01-1999	Onishi et al.	
/DNW/		US- 6,101,215	08-08-2000	Takeda et al.	
DNW/	1	US- 6,791,947	09-14-2004	Oskouy et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Cite		Foreign Patent Document	Publication	Name of Patentae or	Pages, Columns, Lines, Where Relevant			
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (# known)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>8</sup>		
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NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2				
/DNW/		THOMAS "RICK" TEWELL, "DV Coding: How it Works with IEEE-1394," July 29, 1997, http://desktopvideo.miningco.com/library/weekly/aa032698.htm.					

Examiner Signature	/David Werner/	Date Considered	06/08/2007

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.